UNITED NATIONS

Framework Convention on Climate Change

Distr.
GENERAL

FCCC/CP/1999/6/Add.1
2 February 2000

Original: ENGLISH

CONFERENCE OF THE PARTIES

REPORT OF THE CONFERENCE OF THE PARTIES ON ITS FIFTH SESSION, HELD AT BONN FROM 25 OCTOBER TO 5 NOVEMBER 1999

Addendum

PART TWO: ACTION TAKEN BY THE CONFERENCE OF THE PARTIES AT ITS FIFTH SESSION

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Decision 1/CP.5

Implementation of the Buenos Aires Plan of Action

The Conference of the Parties,

Recalling its decision 1/CP.4, by which it expressed its determination to strengthen the implementation of the United Nations Framework Convention on Climate Change and prepare for the future entry into force of the Kyoto Protocol and to maintain political momentum towards these aims,

Further recalling its resolve to demonstrate substantial progress on each of the issues encompassed by the Buenos Aires Plan of Action in accordance with their respective time-frames,

Encouraged by the substantial progress achieved in the work specified in the Buenos Aires Plan of Action,

1. *Resolves* to continue this work in the spirit of progress demonstrated at its current session;

2. *Requests* its subsidiary bodies to intensify the preparatory work required to enable it to take decisions at its sixth session on issues included in the Buenos Aires Plan of Action;

3. *Requests* its President, with the assistance of the Bureau, to provide guidance to the subsidiary bodies; to take all necessary steps to intensify the negotiating process on all issues; and to recommend an effective organization of the work of its sixth session, in order to provide the basis for the decisions to be taken at that session, as called for in the Buenos Aires Plan of Action, with the aim, *inter alia*, of bringing the Kyoto Protocol into force as early as possible;

4. *Invites* all Parties to contribute to this preparatory work, substantively and, as appropriate, financially, *inter alia* to support adequate participation of developing countries, in particular the least developed countries and the small island developing states;

5. *Requests* the Executive Secretary to make the necessary arrangements and provide substantive support for this intensified work programme.

8th plenary meeting
4 November 1999

Decision 2/CP.5

Date and venue of the sixth session of the Conference of the Parties

The Conference of the Parties,

Recalling Article 7.4 of the United Nations Framework Convention on Climate Change,

Recalling General Assembly resolution 40/243 of 18 December 1985 entitled Pattern of conferences,

Having received an offer from the Kingdom of the Netherlands to host the sixth session of the Conference of the Parties in The Hague and to cover the related costs,

1. *Accepts with gratitude* the generous offer of the Kingdom of the Netherlands to host the sixth session of the Conference of the Parties;

2. *Decides* that the sixth session of the Conference of the Parties shall be held in The Hague, the Netherlands, from 13 to 24 November 2000;

3. *Requests* the Executive Secretary to conclude a host country agreement with the Government of the Netherlands on arrangements for the sixth session of the Conference of the Parties.

9th plenary meeting
4 November 1999

Decision 3/CP.5

Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories

The Conference of the Parties,

Recalling the relevant provisions of the United Nations Framework Convention on Climate Change, in particular Article 4, Article 10.2 and Article 12,

Recalling its decision 3/CP.1 on preparation and submission of national communications from Parties included in Annex I to the Convention, 4/CP.1 on methodological issues, 9/CP.2 on communications from Parties included in Annex I to the Convention: guidelines, schedule and process for consideration and 11/CP.4 on national communications from Parties included in Annex I to the Convention,

Recognizing that anthropogenic emissions by sources and removals by sinks of greenhouse gases not controlled by the Montreal Protocol should be reported in a transparent, consistent, comparable, complete and accurate way,

Noting that the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention, annexed to decision 9/CP.2, need to be updated to improve the transparency, consistency, comparability, completeness and accuracy of the reported national greenhouse gas inventories and other information,

Noting the ongoing process of improvement in guidance to Parties on the reporting of greenhouse gases inventories, in particular the work of the Intergovernmental Panel on Climate Change related to good practice in national inventory preparation, including managing uncertainty,

1. *Adopts* the guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories;[1]

2. *Decides* that Parties included in Annex I to the Convention should use these UNFCCC guidelines on annual inventories for reporting inventories due by 15 April each year, beginning in the year 2000;

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[1] See FCCC/CP/1999/7.

3. *Invites* Parties included in Annex I to the Convention to submit separately, by 1 July 2001, information to the secretariat on experiences with using these guidelines, in particular the common reporting format, in the years 2000-2001;

4. *Requests* the secretariat to prepare a report on the use of these guidelines, in particular the common reporting format, taking into account, *inter alia*, experiences gained by Parties in using the guidelines, and by the secretariat in processing the common reporting format, and input from the Intergovernmental Panel on Climate Change, for consideration by the Subsidiary Body for Scientific and Technological Advice at its fifteenth session in considering possible revisions to these guidelines;

5. *Decides* that revisions to these guidelines, particularly the common reporting format, shall be considered by the Subsidiary Body for Scientific and Technological Advice at its fifteenth session with a view to submitting a decision for adoption by the Conference of the Parties at its seventh session.

9th plenary meeting
4 November 1999

Decision 4/CP.5

Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications

The Conference of the Parties,

Recalling the relevant provisions of the United Nations Framework Convention on Climate Change, in particular Articles 4, 6, 7.2, 9.2(b), 10.2, and 12 thereof,

Recalling its decisions 9/CP.2 and 11/CP.4 on national communications from Parties included in Annex I to the Convention,

Having considered the relevant recommendations of the Subsidiary Body for Scientific and Technological Advice and of the Subsidiary Body for Implementation,

Noting that the revised guidelines for the preparation of national communications by Parties included in Annex I to the Convention annexed to decision 9/CP.2 need to be updated to improve the transparency, consistency, comparability, completeness and accuracy of the information reported,

1. *Adopts* the guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications;[1]

2. *Decides* that Parties included in Annex I to the Convention (Annex I Parties) should use Part II of the UNFCCC reporting guidelines for the preparation of their third national communications due by 30 November 2001, in accordance with decision 11/CP.4;

3. *Requests* Annex I Parties to provide a detailed report on their activities in relation to systematic observation, in accordance with the UNFCCC reporting guidelines on global climate observing systems adopted by decision 5/CP.5, in conjunction with their national communications;

4. *Urges* those Annex I Parties that have not submitted their first or second national communications, including those that were included in Annex I by decision 4/CP.3, to do so as soon as possible;

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[1] See FCCC/CP/1999/7.

5. *Urges* Parties included in Annex II to the Convention to assist Annex I Parties with economies in transition, through appropriate bilateral or multilateral channels, with technical aspects of the preparation of national communications.

9th plenary meeting
4 November 1999

Decision 5/CP.5

Research and systematic observation

The Conference of the Parties,

Recalling Articles 4.1(g), 4.1(h) and 5 of the United Nations Framework Convention on Climate Change,

Recalling also its decisions 8/CP.3, 2/CP.4, and 14/CP.4,

1. *Recognizes* the need to identify the priority capacity-building needs related to participation in systematic observation;

2. *Invites* the secretariat of the Global Climate Observing System, in consultation with relevant regional and international bodies, including the Global Environment Facility, to organize regional workshops on this issue;

3. *Urges* Parties to actively support and participate in these regional workshops;

4. *Invites* the secretariat of the Global Climate Observing System to continue to assist and facilitate the establishment of an appropriate intergovernmental process to identify the priorities for action to improve global observing systems for climate and options for their financial support;

5. *Requests* the secretariat of the Global Climate Observing System to report on this matter to the Subsidiary Body for Scientific and Technological Advice at its twelfth session;

6. *Urges* Parties to address deficiencies in the climate observing networks and invites them, in consultation with the secretariat of the Global Climate Observing System, to bring forward specific proposals for that purpose and to identify the capacity-building needs and funding required in developing countries to enable them to collect, exchange and utilize data on a continuing basis in pursuance of the Convention;

7. *Adopts* the UNFCCC reporting guidelines on global climate observing systems;[1]

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[1] See FCCC/CP/1999/7.

8. *Invites* all Parties to provide detailed reports on systematic observation in accordance with these guidelines, for Parties included in Annex I to the Convention in conjunction with their national communications, pursuant to decision 4/CP.5, and on a voluntary basis for Parties not included in Annex I;

9. *Invites* the Convention secretariat, in conjunction with the secretariat of the Global Climate Observing System, to develop a process for synthesizing and analysing the information submitted in accordance with the UNFCCC reporting guidelines on global climate observing systems.

9th plenary meeting
4 November 1999

Decision 6/CP.5

Guidelines for the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention

The Conference of the Parties,

Recalling the relevant provisions of the United Nations Framework Convention on Climate Change, in particular Articles 4 and 7 thereof,

Recalling its decision 11/CP.4 on national communications from Parties included in Annex I to the Convention,

Having considered the relevant recommendations of the Subsidiary Body for Implementation,

Recognizing the need to enhance the in-depth review of greenhouse gas inventories,

1. *Adopts* for a trial period covering inventory submissions due in 2000 and 2001 the guidelines for the technical review of greenhouse gas inventories from Parties included in Annex I to the Convention;[1]

2. *Requests* the secretariat to conduct annual initial checks and an annual synthesis and assessment of greenhouse gas inventories for all Parties included in Annex I to the Convention (Annex I Parties) beginning in 2000, in accordance with the above-mentioned guidelines for the technical review;

3. *Requests* the secretariat to conduct, during the trial period, individual reviews of the greenhouse gas inventories for a limited number of Annex I Parties which have volunteered to be reviewed, in accordance with the above-mentioned guidelines for the technical review;

4. *Requests* the secretariat to use different approaches to individual reviews by coordinating specifically:

(a) Five to seven desk reviews per year and two centralized reviews per year, each covering five to ten inventories, and

(b) Three or four in-country reviews per year;

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[1] See FCCC/CP/1999/7.

5. *Requests* the secretariat to produce a report on the technical reviews, assessing, *inter alia*, the advantages and disadvantages of different approaches, including human and financial resource requirements, for consideration by the Subsidiary Body for Implementation (SBI) as soon as practicable after the end of the trial period;

6. *Requests* the SBI to evaluate, on the basis of the secretariat report, the experience with the technical review, with a view to adopting revised guidelines for the technical review of inventories at the eighth session of the Conference of the Parties;

7. *Invites* Annex I Parties which are in a position to do so to volunteer to subject their inventories to an individual review during the trial period and to designate a government focal point for the coordination of the review;

8. *Urges* Annex I Parties to facilitate the review of their inventories by responding to the secretariat's requests for additional information or comments in a timely manner;

9. *Encourages* Parties to ensure that experts participating in the technical review of inventories have adequate time and, as appropriate, financial support to participate in the reviews;

10. *Requests* the secretariat to report to the SBI, at its thirteenth session, on progress with the implementation of this decision;

11. *Decides* to initiate the individual review of inventories for all Annex I Parties in 2003.

9th plenary meeting
4 November 1999

Decision 7/CP.5

First compilation and synthesis of initial communications from Parties not included in Annex I to the Convention

The Conference of the Parties,

Recalling the relevant provisions of the United Nations Framework Convention on Climate Change, in particular its Articles 4.1 and 10.2(a) and Article 12.1, 12.4, 12.5, 12.6 and 12.7,

Recalling also its decisions on initial communications from Parties not included in Annex I to the Convention (non-Annex I Parties), in particular decisions 10/CP.2, 11/CP.2, and 12/CP.4,

Noting that, pursuant to decision 10/CP.2, the national and regional development priorities, objectives and circumstances of non-Annex I Parties should, in accordance with Article 4.1 of the Convention and provisions of Article 3 and Article 4.3, 4.4, 4.5, 4.7, 4.8, 4.9 and 4.10 of the Convention, be taken into account by the Conference of the Parties in considering matters related to their initial communications,

Noting that, from its first session onward, in accordance with Article 12.7 of the Convention, the Conference of the Parties has arranged for the provision to developing country Parties of both technical and financial support, on request, in compiling and communicating information under that Article, as well as in identifying the technical and financial needs associated with proposed projects and response measures under Article 4 of the Convention,

Having considered the first compilation and synthesis of initial communications from Parties not included in Annex I to the Convention,[1] prepared by the secretariat pursuant to decision 12/CP.4,

Having considered the relevant recommendations of the Subsidiary Body for Implementation,

1. *Requests,* in accordance with Article 12.5 of the Convention, each Party not included in Annex I to the Convention which has not made its initial communication within three years of the entry into force of the Convention for that Party, or of the availability of financial

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[1] See FCCC/SBI/1999/11.

resources in accordance with Article 4.3 of the Convention, to do so as soon as possible, it being understood that Parties that are least developed countries may make their initial communications at their discretion;

2. *Requests* the Convention secretariat:

(a) To prepare the second compilation and synthesis of initial national communications from Parties not included in Annex I to the Convention (non-Annex I Parties), based on submissions received from such Parties by 1 June 2000, and to make that report available to the subsidiary bodies with a view to its consideration by the Conference of the Parties at its sixth session;

(b) In prepar ng that compilation and synthesis, to report on problems encountered in using the guidelines for the preparation of initial national communications by non-Annex I Parties, and on other issues raised by non-Annex I Parties, with a view, among other things, to enhancing further the comparability and focus of the communications;

3. *Concludes,* with respect to the reporting of information by non-Annex I Parties that have submitted their initial national communications, that:

(a) Non-Annex I Parties are fulfilling their commitments under Article 4.1(a) of the Convention to make available to the Conference of the Parties national inventories of anthropogenic emissions by sources and removals by sinks of all greenhouse gases not controlled by the Montreal Protocol;

(b) Non-Annex I Parties are generally following the UNFCCC guidelines with a varied level of detail across the different communications;

4. *Concludes* that, in view of the problems encountered in the preparation of initial national communications, namely difficulties relating to the quality and availability of data, emission factors and methodologies for the integrated assessment of the effects of climate change and impacts of response measures, there is a need for maintaining and enhancing national capacities in non-Annex I Parties for the preparation of national communications;

5. *Concludes* that, although limitations have been encountered, the current guidelines facilitate reporting by Parties; that Parties have found ways to overcome these problems by providing additional information, particularly in relation to greenhouse gas inventories; and that further analysis of the problems in the use of the guidelines will be required when additional national communications are submitted;

6. *Concludes*, with respect to the implementation of the Convention by non-Annex I Parties, that, as described in the first compilation and synthesis of initial communications from Parties not included in Annex I to the Convention,[2] the reporting Parties are taking measures to address climate change and its adverse impacts.

9th plenary meeting
4 November 1999

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[2] See footnote 1 above.

Decision 8/CP.5

Other matters related to communications from Parties not included in Annex I to the Convention

The Conference of the Parties,

Recalling the relevant provisions of the United Nations Framework Convention on Climate Change, in particular its Articles 4.1, 4.3, 4.7, 10.2(a), 12.1 and 12.5,

Recalling also its decisions on communications from Parties not included in Annex I to the Convention (non-Annex I Parties), in particular decisions 10/CP.2, 11/CP.2, 2/CP.4 and 12/CP.4,

Reaffirming that the Global Environment Facility should provide funding for developing country Parties which are particularly vulnerable to the effects of climate change, for activities related to the assessment of vulnerability and adaptation options, in accordance with decisions 10/CP.2 and 2/CP.4,

Having considered the views of Parties on the provision of financial and technical support, on matters related to the consideration of communications from non-Annex I Parties and the timing of second national communications,[1]

Drawing attention to paragraph 1 (d) of decision 11/CP.2 on guidance to the Global Environment Facility, in which it is stated that the preparation of national communications is a continuing process,

Noting the concern expressed by non-Annex I Parties that lack of support for activities subsequent to the submission of national communications has seriously disrupted the implementation of the Convention at the national level,

Encouraging non-Annex I Parties to complete and submit their initial national communications, keeping in mind the timetable set in Article 12.5,

Reiterating the importance of sharing national experience in the preparation of national communications by non-Annex I Parties,

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[1] See FCCC/SBI/1999/MISC.3, FCCC/SBI/1999/MISC.4 and Add.1.

Noting further the importance of providing a forum for non-Annex I Parties to share national experience in the preparation of their national communications,

Reiterating the primary importance of providing financial and technical support for the preparation of such national communications,

1. *Decides*:

(a) That the consideration of national communications from Parties not included in Annex I to the Convention (non-Annex I Parties) shall be carried out in accordance with the relevant provisions of decision 12/CP.4;

(b) That the guidelines for the preparation of initial national communications by non-Annex I Parties contained in the annex to decision 10/CP.2, together with the guidance provided to the operating entity of the financial mechanism given in decision 11/CP.2, shall continue to be valid for all initial national communications;

(c) That a process of reviewing the guidelines for the preparation of national communications shall be initiated with the aim of improving them by the seventh session of the Conference of the Parties, taking into account information on the use of the guidelines contained in the compilation and synthesis report comprising a representative and meaningful number of national communications from non-Annex I Parties;

(d) That all Parties that have submitted their initial national communications before the adoption of revised guidelines for national communications, and wish to start the preparation of their second national communications before the seventh session of the Conference of the Parties, may do so using the initial guidelines; that the Global Environment Facility (GEF) shall provide funding for the preparation of the second national communications of such Parties, following the guidance to the GEF set out in decisions 11/CP.2 and 2/CP.4; and that Parties which start to prepare their second national communications after adoption of the revised guidelines shall use the revised guidelines;

2. *Decides further* that the frequency of submission of national communications by non-Annex I Parties shall be determined at its seventh session; for this purpose, adequate information from the operating entity of the financial mechanism on the effective availability of financial resources to non-Annex I Parties and the timing of disbursement of these resources to developing countries for the preparation of initial national communications will be necessary to determine the timetable for non-Annex I Party submissions, in accordance with Article 4.3 of the Convention;

3. *Decides also* to establish a consultative group of experts on national communications from Parties not included in Annex I to the Convention with the objective of improving national communications from non-Annex I Parties, in accordance with the annex to this decision;

4. *Decides* to reconsider the terms of reference of the consultative group of experts at its seventh session;

5. *Requests* the Convention secretariat to facilitate the work of the consultative group of experts in accordance with Article 8.2(c) of the Convention and decision 10/CP.2, paragraph 1 (a), by:

(a) Coordinating meetings of the consultative group of experts and compiling reports on its regional workshops and meetings for consideration by the subsidiary bodies;

(b) Making information on those experts, by expertise and region, available on the roster of experts on the UNFCCC web site, indicating their role as experts on non-Annex I Party communications;

(c) Exploring ways to enhance communication among those experts, including through the development of an electronic bulletin board where appropriate;

6. *Invites* the GEF to include in the report on its activities to the Conference of the Parties at its sixth session specific references to the implementation of decisions 2/CP.4 and 10/CP.2.

9th plenary meeting
4 November 1999

Annex

TERMS OF REFERENCE OF THE CONSULTATIVE GROUP OF EXPERTS ON NATIONAL COMMUNICATIONS FROM PARTIES NOT INCLUDED IN ANNEX I TO THE CONVENTION

1. The consultative group of experts on national communications from Parties not included in Annex I to the Convention (non-Annex I Parties) shall have the objective of improving the process of preparation of national communications by non-Annex I Parties.

2. The consultative group shall be composed of experts drawn from the roster of experts with expertise in greenhouse gas inventories, vulnerability assessment and adaptation, abatement issues and preparation of national communications.

3. The experts shall be appointed as follows: five experts from Africa, five experts from Asia, five experts from Latin America and the Caribbean and six experts from Annex I Parties. The experts representing each developing region shall be appointed by the Parties from that region in order to ensure geographical balance. The experts from Annex I Parties shall be appointed by the Parties included in Annex I to the Convention. In addition, up to three experts from organizations with relevant experience shall be selected by the secretariat to participate in the group. The Chairmen of the subsidiary bodies shall be notified of these appointments.

4. The consultative group shall meet twice in the year 2000, each time immediately prior to meetings of the subsidiary bodies.

5. The consultative group of experts shall be mandated to:

(a) Exchange experience and information on the preparation of national communications, including consideration of subregional experience, through the meetings referred to in paragraph 4 above and paragraph 7 below, on the basis of agendas to be decided by consultation among the participants at each level of meetings;

(b) Consider, as appropriate, the needs for and availability of financial resources and technical support, and the identification of barriers to and gaps in this support;

(c) Consider, as appropriate, information in national communications from non-Annex I Parties in accordance with the guidelines for the preparation of initial national communications by Parties not included in Annex I to the Convention contained in the annex to decision 10/CP.2;

(d) Review existing activities and programmes to facilitate and support the preparation of national communications by non-Annex I Parties with a view to identifying gaps and making recommendations to better coordinate these activities and programmes in order to enhance the preparation of national communications;

(e) Identify the difficulties encountered by non-Annex I Parties in the use of the guidelines contained in the annex to decision 10/CP.2 and in the use of the Intergovernmental Panel on Climate Change (IPCC) methodologies and other models, and make recommendations for improvement where appropriate;

(f) Identify the analytical and methodological issues, including technical problems in the preparation and reporting of greenhouse gas inventories, in particular with respect to the improvement of data collection, the development of local and regional emission factors and activity data, and the development of methodologies, where appropriate, with a view to enhancing the quality of future inventories;

(g) Examine national communications, in particular greenhouse gas inventories, submitted by non-Annex I Parties, with a view to arriving at recommendations on ways of overcoming difficulties in the use of the IPCC methodologies and the UNFCCC guidelines relating to inventories contained in the annex to decision 10/CP.2, and on possible innovations, and produce reports thereon;

(h) Encourage interaction among experts from all Parties.

6. Recommendations of the consultative group on these matters shall be forwarded to the subsidiary bodies for their consideration.

7. Each year there will be one workshop in each of the regions referred to in paragraph 3 above to consider both regional and subregional experience. The five non-Annex I Party experts from their respective regions will conduct these workshops. The agendas for the workshops will be developed by the participating experts, in consultation with the UNFCCC secretariat, and will ensure adequate coverage of the issues identified in paragraph 5 above. Experts/resource persons at these workshops will be drawn from the roster of experts, and limited to an additional 15 experts from the region, and five Annex I Party experts.

8. The secretariat shall coordinate these workshops and facilitate the preparation of a report by the experts on each workshop, which will be made available to the Parties.

Decision 9/CP.5

Development and transfer of technologies: status of the consultative process

The Conference of the Parties,

Recalling the relevant provisions of the United Nations Framework Convention on Climate Change, in particular its Article 4.1, 4.3, 4.5, 4.7, 4.8 and 4.9 and Articles 9.2, 11.1, 11.5, 12.3 and 12.4,

Noting the progress made by the Intergovernmental Panel on Climate Change on its special report on methodological and technological issues in technology transfer,

Having considered the progress report presented by the Convention secretariat on the development and transfer of technologies,[1]

Reaffirming its decisions 13/CP.1, 7/CP.2, 9/CP.3, 4/CP.4 and relevant provisions of its decision 1/CP.4 on the Buenos Aires Plan of Action,

1. *Takes note* of the conclusions of the Subsidiary Body for Scientific and Technological Advice (SBSTA) at its eleventh session on the development and transfer of technologies;

2. *Agrees* to extend, until its sixth session, the consultative process referred to in decision 4/CP.4 and to request the Chairman of the SBSTA, with the assistance of the secretariat, to complete the regional workshops by early 2000, resources permitting, and to report on the outcome of the regional workshops in the Asia and the Pacific region and in the Latin America and the Caribbean region at the twelfth session of the SBSTA;

3. *Requests* the Chairman of the SBSTA, with the assistance of the secretariat, to hold a meeting with experts and representatives of Parties before the twelfth session of the SBSTA, resources and time permitting, to consider the progress of the consultative process and the possible elements of a framework for meaningful and effective actions to enhance the implementation of Article 4.5 of the Convention identified by the Chairman;

4. *Invites* the Chairman of the SBSTA to hold consultations among Parties in August 2000 regarding the outcome of the consultative process, resources and time permitting;

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[1] See FCCC/SBSTA/1999/11.

5. *Requests* the Chairman of the SBSTA, with the assistance of the secretariat, to make available at the thirteenth session of the SBSTA a report on the outcome of the consultative process incorporating a draft text on a framework for meaningful and effective actions to enhance the implementation of Article 4.5 of the Convention, with a view to adopting a decision at its sixth session;

6. *Invites* Parties not included in Annex I to the Convention that have not already done so to report their technology needs, in their national communications, to the extent possible;

7. *Urges* Parties included in Annex II to the Convention to give particular attention to reporting on technology transfer activities, as specified in part II of the revised guidelines for reporting by Parties included in Annex I to the Convention.

9th plenary meeting
4 November 1999

Decision 10/CP.5

Capacity-building in developing countries (non-Annex I Parties)

The Conference of the Parties,

Recalling Article 4.1, 4.3, 4.5, and 4.7, in the context of Article 3, and also Articles 5 (c) and 6 (b) of the United Nations Framework Convention on Climate Change,

Recalling further the provisions relating to capacity-building for developing countries contained in its decisions 10/CP.2, 11/CP.2, 9/CP.3, 2/CP.4, 4/CP.4, 5/CP.4, 6/CP.4, 7/CP.4, 12/CP.4 and 14/CP.4,

Welcoming the submissions by Parties on the subject of capacity-building,[1]

Affirming that capacity-building is critical to the effective participation of developing countries in the Convention and Kyoto Protocol processes,

Recognizing the importance of taking stock of existing activities in the field of capacity-building, including the enabling activities of the Global Environment Facility,

Acknowledging that work has begun on implementing provisions for capacity-building contained in decisions 4/CP.4, 7/CP.4 and 14/CP.4, but that substantial work still remains to be done,

Recognizing that the constraints to implementing the Convention in developing countries include the lack of financial resources and appropriate institutions; the lack of access to necessary technologies and know-how, including information technology; and the lack of regular opportunities to exchange information and views among developing countries,

Recognizing also that developing countries, in particular the least developed countries and small island developing States amongst them, because of their vulnerability to the adverse effects of climate change, require special capacity-building initiatives,

Underlining that capacity-building for developing countries must be country-driven, reflecting their national initiatives and priorities, and that it is primarily to be undertaken by developing countries and in developing countries in partnership with developed countries, in accordance with the provisions of the Convention,

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[1] See FCCC/SB/1999/MISC.9 and FCCC/SB/1999/MISC.11.

Emphasizing that capacity-building is a continuous process aimed at strengthening or establishing, as appropriate, relevant organizations, institutions and human resources in order to provide expertise in all areas relating to the implementation of the Convention,

Emphasizing further that an integrated approach should recognize the responsibility of individual Parties to promote conditions that are conducive to the development of human, institutional and technical capacity, and that every effort should be made to improve the coordination and effectiveness of existing efforts and promote participation of a wide range of actors and constituencies, including governments at all levels, international organizations, civil society and the private sector,

Emphasizing also the importance of creating an enabling environment for investment, which promotes capacity-building activities in developing countries,

Noting that capacity-building activities are conducted by United Nations agencies, international organizations and bilateral and multilateral institutions, including the Global Environment Facility as an operating entity of the financial mechanism,

1. *Decides* that:

(a) Financial and technical support for capacity-building activities for implementing the Convention in developing countries, in particular the least developed countries and small island developing States, should be provided through the financial mechanism and through bilateral and multilateral agencies, as appropriate;

(b) Capacity-building activities related to the implementation of the Convention and its Kyoto Protocol should take fully into account the provisions of this decision;

(c) Existing capacity-building activities and programmes should be comprehensively assessed to determine their effectiveness and to identify gaps and weaknesses in ongoing efforts, and that the special needs of developing countries should be further elaborated in accordance with this decision, through a country-driven process, so as to take a comprehensive decision at its sixth session;

(d) UNFCCC national focal points or national authorities designated to handle climate change in developing countries should play a key role in the assessment referred to in paragraph 1(c) above and urges Parties included in Annex II to the Convention (Annex II Parties), the secretariat, the Global Environment Facility (GEF) and relevant international organizations to assist in strengthening them for this purpose;

(e) The assessment should consider, *inter alia*, the following ways and means for capacity-building:

(i) Strengthening the UNFCCC national focal points or national authorities designated to handle climate change;

(ii) Building expertise and strengthening institutions, including collaborating centres, in developing countries which can undertake capacity-building activities at the national, subregional and regional levels, so as to enable them to collect, analyse and provide information on climate change relevant to policy- and decision-making, using state-of-the-art information technology;

(iii) Supporting the networking of these institutions among themselves, and between them and relevant institutions in developed country Parties;

(iv) Using, as appropriate, national experts or consultants to undertake studies and to design, and implement projects at the national level;

(v) Conducting training, seminars and exchange programmes for the personnel of developing country institutions and of relevant institutions in other developing countries and in developed countries;

2. *Invites* Parties not included in Annex I to the Convention (non-Annex I Parties) to elaborate their specific needs and priorities for capacity-building by 1 March 2000;

3. *Requests* Annex II Parties to supplement the information contained in their national communications on activities and programmes which facilitate capacity-building in developing countries in the area of climate change, by 1 March 2000;

4. *Invites* relevant intergovernmental organizations to provide the secretariat with information on their ongoing capacity-building activities by 1 March 2000;

5. *Requests* the secretariat:

(a) To compile information contained in initial national communications from non-Annex I Parties relating to capacity-building activities, programmes and needs, and to make it available in both printed and electronic formats prior to the twelfth sessions of the subsidiary bodies;

(b) To compile information contained in national communications of Annex II Parties on activities and programmes implemented to facilitate capacity-building in developing countries relevant to the implementation of the Convention, as well as the information referred to in paragraphs 2, 3 and 4 above, and to make it available in both printed and electronic formats prior to the twelfth sessions of the subsidiary bodies;

(c) To further elaborate the specific capacity-building needs and priorities of non-Annex I Parties, taking fully into account the list provided by those Parties and contained in the annex to this decision, and the results of the inter-sessional workshops, including workshops on the consultative process for technology transfer, held prior to the twelfth sessions of the subsidiary bodies;

(d) To develop in accordance with this decision, in close consultation with Parties at the twelfth sessions of the subsidiary bodies, and based on the information compiled and synthesized, elements of a draft framework for capacity-building activities, including elements related to capacity-building emerging from discussions of other issues under the Convention and its Kyoto Protocol, for consideration by the subsidiary bodies at their thirteenth sessions;

(e) To coordinate closely with, and seek the assistance of, the GEF, as an operating entity of the financial mechanism, and the secretariats of relevant United Nations agencies and international organizations, and bilateral and multilateral institutions, in preparing the elements of the draft framework referred to in subparagraph (d) above; to continue to coordinate with these agencies, organizations and institutions regarding their climate change capacity-building activities in support of the implementation of the Convention and its Kyoto Protocol; and in reporting on this coordination, to include information on the financing of these activities, at regular intervals;

(f) To report to the subsidiary bodies, at their twelfth sessions, on progress in the review by the GEF of its enabling activities, its capacity-building activities in its normal work programme, its Country Dialogue Workshops and its Capacity Development Initiative.

9th plenary meeting
4 November 1999

Annex

LIST OF CAPACITY-BUILDING NEEDS OF DEVELOPING COUNTRY PARTIES[1]

1. **Institutional capacity-building**

- Strengthening of national UNFCCC focal points or national authorities designated to coordinate climate change activities
- Strengthening of relevant and key academic and research institutions and non-governmental organizations

2. **Capacity-building under the clean development mechanism**

- Establishment of institutional linkages required for implementation of the clean development mechanism
- Project identification, formulation and design
- Monitoring, verification, auditing and certification of project activities
- Development of criteria, including for sustainable development indicators, e.g. for adaptation
- Development of baselines
- Project negotiation skills
- Clean development mechanism demonstration projects to enhance capacity-building (learning by doing), including assessment of costs and risks (long- and short-term)
- Data acquisition and sharing

3. **Human resource development**

- Fellowships and scholarships for formal training at higher levels, specialized training and informal training
- Development of a "pool" of expertise and skills
- Studies such as climate change detection and climate variability, impact assessment, vulnerability and adaptation studies, and policy analysis
- Workshops (including workshops to discuss the implementation plan)
- Exchange programmes among Parties
- Integration of climate change into educational curricula
- Networking and coordination at local, national, regional and international levels

4. **Technology transfer**

- Identification and assessment of appropriate technologies
- Appropriate technology information needs, including support for office and other relevant equipment

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[1] See FCCC/SB/1999/MISC.9, annex (proposed by Gambia on behalf of the Group of 77 and China).

- Analysis of constraints to the transfer of technology (non-Annex I and Annex I Parties).
- Exchange programmes

5. **National communications**

- Development of local emission factors
- Data collection, analysis and archiving
- Establishment of a technical assistance group, such as a non-Annex I Party expert group
- Vulnerability assessments, including scoping, modelling, analysis, method selection and reporting

6. **Adaptation**

- Development of adaptation project guidelines
- Case studies of extreme weather events, documentation and dissemination of study reports
- Capacity-building and capacity-enhancement in the marine sector, such as coastal zone management
- Identification and promotion of traditional knowledge, skills and practices which enhance adaptation

7. **Public awareness**

- Development of public awareness programmes
- Development and production of public awareness materials
- Workshops
- Involvement and consultation

8. **Coordination and cooperation**

- Coordination programmes at the individual, community, local, government, non-government, national and regional levels
- Involvement and consultation
- Linking and learning

9. **Improved decision-making**

- Awareness and knowledge
- Research, data and information
- Technical and policy
- Integrating climate change policies into national development strategies and plans

Decision 11/CP.5

Capacity-building in countries with economies in transition

The Conference of the Parties,

Recalling Articles 4.1, 4.5, 4.6 and 6 of the United Nations Framework Convention on Climate Change,

Recalling further the provisions relating to capacity-building for countries with economies in transition contained in decisions 6/CP.4 and 7/CP.4,

Affirming that capacity-building is critical to the effective participation of countries with economies in transition in the Convention and Kyoto Protocol processes and must be comprehensively addressed,

Underlining that capacity-building for countries with economies in transition must be country-driven, reflecting their national initiatives and priorities, and that it is primarily to be undertaken by countries with economies in transition and in countries with economies in transition in partnership with Parties included in Annex II to the Convention, in accordance with the provisions of the Convention,

Emphasizing that capacity-building is a continuous process aimed at strengthening or establishing, as appropriate, relevant organizations, institutions and human resources in order to provide expertise in all areas relating to the implementation of the Convention,

Emphasizing further that an integrated approach should recognize the responsibility of individual Parties to promote conditions that are conducive to the development of human, institutional and technical capacity, and that every effort should be made to improve the coordination and effectiveness of existing efforts and promote the participation of a wide range of actors and constituencies, including governments at all levels, international organizations, civil society and the private sector,

Emphasizing also the importance of creating an enabling environment for investment, which promotes capacity-building activities in countries with economies in transition,

1. *Decides* that:

(a) Financial and technical support for capacity-building for countries with economies in transition for implementing the Convention and to prepare for participation in activities under Articles 5, 6, 7 and 17 of the Kyoto Protocol should be provided through bilateral and multilateral channels and the private sector, as appropriate;

(b) Existing capacity-building activities and programmes should be comprehensively assessed to determine their effectiveness and to identify gaps and weaknesses in ongoing efforts, and that the specific needs of Parties with economies in transition should be further elaborated in accordance with this decision, to enable the Conference of the Parties to take a comprehensive decision at its sixth session;

2. *Invites* Parties included in Annex I but not included in Annex II to the Convention to identify their needs and priorities for capacity-building by 1 March 2000;

3. *Requests* the secretariat:

(a) To compile and synthesize the information submitted in accordance with paragraph 2 of this decision for consideration at the twelfth sessions of the subsidiary bodies;

(b) To develop in accordance with this decision, in close consultation with Parties at the twelfth sessions of the subsidiary bodies, and based on the information compiled and synthesized, elements of a draft framework for capacity-building activities, including elements related to capacity-building emerging from discussions of other issues under the Convention and its Kyoto Protocol, for consideration by the subsidiary bodies at their thirteenth sessions.

9th plenary meeting
4 November 1999

Decision 12/CP.5

Implementation of Article 4, paragraphs 8 and 9, of the Convention and matters relating to Article 3, paragraph 14, of the Kyoto Protocol

The Conference of the Parties,

Recalling its decision 5/CP.4 entitled Implementation of Article 4.8 and 4.9 of the Convention (decision 3/CP.3 and Articles 2.3 and 3.14 of the Kyoto Protocol),

Recalling also its decision 8/CP.4 on preparations for the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recognizing the specific needs and concerns of developing country Parties referred to in Article 4.8 of the Convention, and the specific needs and special situations of the least developed countries referred to in Article 4.9,

Recognizing also the concern for sustainable development of the countries referred to in Article 4.8 and 4.9 of the Convention,

Taking note of the report of the expert workshop referred to in the programme of work contained in the annex to decision 5/CP.4, which was held in Bonn from 21 to 24 September 1999,[1]

Recognizing that the identification of initial actions necessary to address the adverse effects of climate change and/or the impact of the implementation of response measures needs to be based on sufficient information and analysis within a clearly-defined process,

Acknowledging the efforts already made by Parties towards meeting the specific needs and concerns of developing country Parties, in particular the least developed countries, with regard to adaptation,

Having considered the report of the above-mentioned workshop regarding the specific needs and concerns of developing country Parties, and the specific needs and special situations of the least developed countries where widespread poverty limits adaptive capacity, particularly in relation to the impacts of the adverse effects of climate change on socio-economic conditions, including, *inter alia*, water resources, agriculture and food security, economic activities, coastal

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[1] See FCCC/SB/1999/9.

zones and health, and the impact of the implementation of response measures on, *inter alia,* terms of trade, international capital flows and developmental efforts,

1. *Decides* to continue the process of implementation of Article 4.8 and 4.9 of the Convention, as established by decisions 3/CP.3 and 5/CP.4, and to assess the process at its sixth session and, as appropriate, at subsequent sessions;

2. *Decides* that the process referred to in paragraph 1 above shall address information-gathering on initial actions needed to address the specific needs and concerns of developing country Parties referred to in Article 4.8 of the Convention, and the specific needs and special situations of the least developed countries referred to in Article 4.9 of the Convention, arising from the adverse effects of climate change and/or the impact of the implementation of response measures;

3. *Decides* that the process shall also identify what actions are necessary under the Convention, including actions relating to funding, insurance and the transfer of technology, to meet the specific needs and concerns of developing country Parties referred to in Article 4.8 of the Convention and the specific needs and special situations of the least developed countries;

4. *Requests* the subsidiary bodies, at their twelfth and thirteenth sessions, giving special attention to the situations of the least developed countries in accordance with Article 4.9 of the Convention, and reaffirming, in particular, the need for support for capacity-building and technical assistance, to continue their consideration of the implementation of Article 4.8 and 4.9 of the Convention, and in particular of the examples of initial actions listed in subparagraphs (a) to (e) below, and encourages Parties to respond positively where adaptation measures are identified by developing country Parties as priorities:

(a) Information on the adverse effects of climate change, based on country-specific data, drawn from the national communications of Parties not included in Annex I to the Convention and other sources;

(b) Information on the impact of the implementation of response measures, drawn from the national communications of Parties not included in Annex I to the Convention and other sources;

(c) Information on policies and measures undertaken to respond to climate change, drawn from the national communications of Parties included in Annex I to the Convention;

(d) Consideration of the importance and extent of efforts to diversify the national economies of developing countries referred to in Article 4.8 and 4.9 of the Convention, and of how the international community could best support such efforts;

(e) Consideration of how adaptation measures can be integrated into national strategies for sustainable development and could help to form a basis for action in multilateral and bilateral development programmes;

5. *Decides* that a workshop be organized, under the guidance of the Chairmen of the subsidiary bodies, on the consideration of initial actions, including actions related to funding, insurance and the transfer of technology, needed to meet the specific needs and concerns of developing country Parties, and the specific needs and special situations of the least developed countries, arising from the adverse effects of climate change on, *inter alia,* water resources, agriculture and food security, economic activities, coastal zones and health. The workshop shall consider, among other issues, the following:

(a) Enhancing capacity for monitoring, systematic observation and vulnerability assessment in developing countries;

(b) Capacity-building in environmental management and integrated assessment;

(c) Identifying adaptation options and facilitating adaptation where near-term climate change impacts are understood and adaptation measures are feasible;

6. *Decides* that a workshop be organized, under the guidance of the Chairmen of the subsidiary bodies, on methodological approaches and what actions are necessary under the Convention relating to the impact of the implementation of response measures on, *inter alia,* terms of trade, international capital flows and developmental efforts, in accordance with Article 4.8 and 4.9 of the Convention and in the light of matters related to Article 3.14 of the Kyoto Protocol. The workshop shall consider, among other issues, the following:

(a) The nature and content of information needed;

(b) The sources of information;

(c) Procedures and modalities for the provision of information;

(d) What actions are necessary, including those relating to funding, insurance and the transfer of technology;

7. *Decides* that the workshops referred to in paragraphs 5 and 6 above shall be organized in two consecutive, equal time periods before the end of March 2000, and requests the Chairmen of the subsidiary bodies to present a report in two parts thereon to the subsidiary bodies at their twelfth sessions;

8. *Invites* the subsidiary bodies, at their twelfth and thirteenth sessions, to consider the report in two parts referred to in paragraph 7 above, and to make recommendations to the Conference of the Parties at its sixth session;

9. *Decides* to consider further, at its sixth session, matters related to Article 3.14 of the Kyoto Protocol, as an input to the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, taking into account its ongoing discussions on the implementation of Article 4.8 and 4.9 of the Convention.

9th plenary meeting
4 November 1999

Decision 13/CP.5

Activities implemented jointly under the pilot phase

The Conference of the Parties,

Recalling its decisions 5/CP.1, 1/CP.4, 6/CP.4 and 7/CP.4,

Taking note of the conclusions of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation at their eleventh sessions on the issues addressed in the comprehensive review of the pilot phase of activities implemented jointly, as well as of the third synthesis report on activities implemented jointly,[1]

Noting that activities implemented jointly under the pilot phase have made some contribution to meeting the ultimate objective of the United Nations Framework Convention on Climate Change,

Noting that, by virtue of decision 5/CP.1, activities implemented jointly under the pilot phase are undertaken within the framework of the Convention,

Acknowledging the importance of learning-by-doing through activities implemented jointly under the pilot phase and of providing new opportunities for activities implemented jointly to those Parties that have not yet had experience with projects under the pilot phase,

1. *Decides* to conclude the review process and, without prejudice to future decisions, to continue the pilot phase of activities implemented jointly beyond the end of the present decade; during the continuation of the pilot phase the issue of geographical imbalance, in particular the lack of projects in Africa and small island developing States, should be addressed;

2. *Invites* Parties to provide proposals for the improvement of the draft revised uniform reporting format[2] by 31 March 2000;

3. *Requests* the secretariat to prepare a further draft revision of the uniform reporting format and a set of guidelines for its use, for consideration by the subsidiary bodies at their thirteenth sessions;

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[1] See FCCC/SB/1999/5 and Corr.1 and Add.1.

[2] Contained in document FCCC/SB/1999/5/Add.1.

4. *Encourages* Parties involved in activities implemented jointly under the pilot phase to submit further information using the uniform reporting format, the deadline for the submission of such information to be considered in the fourth annual synthesis report being 30 June 2000;

5. *Urges* Parties reporting on activities implemented jointly under the pilot phase to submit joint reports through the designated national authority of one Party, which should provide proof that the designated national authorities of all the other Parties involved concur with the reports.

9th plenary meeting
4 November 1999

Decision 14/CP.5

Mechanisms pursuant to Articles 6, 12 and 17 of the Kyoto Protocol

The Conference of the Parties,

Recalling its decision 7/CP.4 on the work programme on mechanisms of the Kyoto Protocol,

Having considered the conclusions of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation at their eleventh sessions,

1. *Requests* the Chairmen of the subsidiary bodies to revise their note entitled "Synthesis of proposals by Parties on principles, modalities, rules and guidelines",[1] to incorporate further proposals by Parties and to consolidate a text for further negotiation, in accordance with comments by Parties;

2. *Invites* Parties to submit further proposals, consistent with the existing framework in the note by the Chairmen, on principles, modalities, rules and guidelines in relation to mechanisms by 31 January 2000;

3. *Requests* the Chairmen of the subsidiary bodies to convene inter-sessional meetings and workshops to assist in undertaking preparatory work for the Conference of the Parties at its sixth session, drawing on technical expertise, as appropriate, taking into account the need for transparency and regional balance in representation, and the need for Parties to review the work of the experts;

4. *Requests* the subsidiary bodies, at their sessions prior to the sixth session of the Conference of the Parties, to take forward the consolidated text as a basis for further negotiations on principles, modalities, rules and guidelines, with priority given to the clean development mechanism, with a view to the Conference of the Parties taking decisions on all the mechanisms under Articles 6, 12 and 17 of the Kyoto Protocol at its sixth session, including, where appropriate, recommendations to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session.

9th plenary meeting
4 November 1999

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[1] See FCCC/SB/1999/8 and Add.1.

Decision 15/CP.5

Future work of the Joint Working Group on Compliance

The Conference of the Parties,

Recalling its decision 1/CP.3 on the adoption of the Kyoto Protocol to the United Nations Framework Convention on Climate Change,

Recalling also its decisions 1/CP.4 and 8/CP.4,

Recognizing with appreciation the work done by the Joint Working Group on Compliance on the development of elements of procedures and mechanisms relating to a compliance system under the Kyoto Protocol,

Having considered the report of the Joint Working Group on Compliance submitted through the subsidiary bodies,[1] and the valuable progress made by the Joint Working Group,

1. *Decides* that the Joint Working Group on Compliance shall continue its work beyond the fifth session of the Conference of the Parties, based on the mandate contained in decision 8/CP.4;

2. *Requests* the Joint Working Group on Compliance to continue to make substantial progress for the purpose of completing its work and fulfilling its mandate and to provide a report on its findings to the Conference of the Parties at its sixth session, through the subsidiary bodies, so as to enable the Conference of the Parties to adopt a decision on a compliance system under the Kyoto Protocol at that session.

9th plenary meeting
4 November 1999

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[1] See FCCC/SBI/1999/14, annex I.

Decision 16/CP.5

Land-use, land-use change and forestry

The Conference of the Parties,

Recalling its decisions 1/CP.3, 8/CP.4 and 9/CP.4,

1. *Decides* to endorse a work programme and elements of a decision-making framework to address the conclusions on land-use, land-use change and forestry adopted by the Subsidiary Body for Scientific and Technological Advice at its eleventh session, as requested by decision 9/CP.4, with a view to the Conference of the Parties, at its sixth session, recommending draft decisions relating to decision 9/CP.4, paragraphs 3 and 4, for adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session, taking into account the Intergovernmental Panel on Climate Change *Special Report on Land-use, Land-use Change and Forestry*, considerations by the Subsidiary Body for Scientific and Technological Advice, ongoing methodological and other work by the Intergovernmental Panel on Climate Change, and necessary continuing considerations by the Subsidiary Body for Scientific and Technological Advice;

2. *Recognizes* that, at subsequent sessions, it may be required to recommend further relevant decisions for adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session.

9th plenary meeting
4 November 1999

Decision 17/CP.5

Relationship between efforts to protect the stratospheric ozone layer and efforts to safeguard the global climate system

The Conference of the Parties,

Recalling its decision 13/CP.4 entitled Relationship between efforts to protect the stratospheric ozone layer and efforts to safeguard the global climate system: issues related to hydrofluorocarbons and perfluorocarbons,

Having considered the information submitted pursuant to decision 13/CP.4 by Parties, by intergovernmental organizations, in particular the Intergovernmental Panel on Climate Change and the Technology and Economic Assessment Panel under the Montreal Protocol, and by non-governmental organizations, on potential and available ways and means of limiting emissions of hydrofluorocarbons and perfluorocarbons,[1]

1. *Invites* each Party to give consideration to this information on available and potential ways and means of limiting emissions of hydrofluorocarbons and perfluorocarbons, taking into account, *inter alia*, health, medical, environmental and safety considerations, energy efficiency and associated emissions in carbon dioxide equivalent, and technical and economic considerations;

2. *Requests* the Intergovernmental Panel on Climate Change to take into account this information in the elaboration of its third assessment report;

3. *Requests* the Subsidiary Body for Scientific and Technological Advice to give further consideration to information aspects of this issue at its first session following the sixth session of the Conference of the Parties.

9th plenary meeting
4 November 1999

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[1] See FCCC/SBSTA/1999/MISC.6 and Add.1; see also information available on the UNFCCC web site and its 1999 CD-ROM version.

Decision 18/CP.5

Emissions based upon fuel sold to ships and aircraft engaged in international transport

The Conference of the Parties,

Recalling that the Subsidiary Body for Scientific and Technological Advice, during its tenth and eleventh sessions, held an exchange of views and adopted conclusions on emissions based upon fuel sold to ships and aircraft engaged in international transport during its tenth and eleventh sessions,[1]

1. *Expresses its appreciation* to the International Civil Aviation Organization for requesting, and to the Intergovernmental Panel on Climate Change for preparing, the *Special Report on Aviation and the Global Atmosphere* relating to the effects of aircraft emissions on the climate and atmospheric ozone;

2. *Welcomes* the *Special Report on Aviation and the Global Atmosphere* as a comprehensive assessment of the effects of aircraft emissions on the climate and atmospheric ozone;

3. *Requests* the secretariat to continue to develop its cooperation with the secretariats of the International Civil Aviation Organization and the International Maritime Organization and participate in their meetings on climate change related issues;

4. *Requests* the Subsidiary Body for Scientific and Technological Advice to continue its current work on methodological issues related to reporting on emissions based upon fuel sold to ships and aircraft engaged in international transport, including those that may be contained in the Intergovernmental Panel on Climate Change report on good practice in national inventory preparation, including managing uncertainty.

9th plenary meeting
4 November 1999

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[1] See FCCC/SBSTA/1999/6 and FCCC/SBSTA/1999/14.

Decision 19/CP.5

Cooperation with the Intergovernmental Panel on Climate Change

The Conference of the Parties,

Noting the conclusions of the Subsidiary Body for Scientific and Technological Advice at its eleventh session,[1]

1. *Expresses its appreciation* to the Intergovernmental Panel on Climate Change, particularly its authors and scientists, for the high quality of its work;

2. *Notes with concern* the urgent appeal for additional resources by the Chairman of the Intergovernmental Panel on Climate Change;

3. *Urges* Parties, intergovernmental organizations and other organizations in a position to do so, to contribute financial support early and generously to the work of the Intergovernmental Panel on Climate Change, to enable it to complete its third assessment report and special reports, in view of their importance to advancing the Convention process;

4. *Invites* the Subsidiary Body for Implementation to consider, at its twelfth session, the issue of support to the Intergovernmental Panel on Climate Change, in the context of recommending additional guidance to the Global Environment Facility.

9th plenary meeting
4 November 1999

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[1] See FCCC/SBSTA/1999/14.

Decision 20/CP.5

Programme budget for the biennium 2000-2001

The Conference of the Parties,

Recalling paragraph 4 of the financial procedures for the Conference of the Parties to the United Nations Framework Convention on Climate Change,[1]

Having considered the proposed budget for the biennium 2000-2001 submitted by the Executive Secretary,[2]

Noting the annual contribution of the host Government, DM 1.5 million, which offsets planned expenditures,

1. *Approves* the programme budget for the biennium 2000-2001, amounting to US$ 25,286,000, for the purposes specified in table 1 below;

2. *Adopts* the indicative scale of contributions for 2000 and 2001 contained in the annex to this decision;

3. *Approves* a drawing of $2 million from the unspent balances or contributions (carry-over) from previous financial periods to cover part of the 2000-2001 budget;

4. *Approves* the staffing table for the programme budget, as contained in table 2 below;

5. *Approves* a contingency budget for conference servicing, amounting to $5,661,800, to be added to the programme budget for the coming biennium in the event that the General Assembly of the United Nations decides not to provide resources for these activities in the United Nations regular budget for the biennium 2000-2001 (see tables 3 and 4 below);

6. *Approves* additional resources for secretariat support for the work on matters related to the consideration of national communications from Parties not included in Annex I to the Convention, amounting to $1,263,200, to be added to the programme budget for the biennium 2000-2001, of which $363,200 will be drawn on available resources, including unspent balances or contributions from various financial periods (see tables 5 and 6 below), on the understanding that additional voluntary contributions to the Trust Fund for Supplementary

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[1] See decision 15/CP.1, annex I.

[2] See FCCC/CP/1999/INF.1; FCCC/SBI/1999/4 and Add.1, and FCCC/SBI/1999/8.

Activities will be needed in order to cover activities in relation to the work of the consultative group of experts established by decision 8/CP.5;

7. *Requests* the Executive Secretary to report to the Subsidiary Body for Implementation at subsequent sessions on the implementation of paragraph 5 above, as necessary;

8. *Authorizes* the Executive Secretary to make transfers between each of the main appropriation lines set out in table 1 below, up to an aggregate limit of 15 per cent of total estimated expenditure for those appropriation lines, provided that a further limitation of up to minus 25 per cent of each such appropriation line shall apply;

9. *Decides* to maintain the level of the working capital reserve at 8.3 per cent of the estimated expenditure;

10. *Invites* all Parties to the Convention to note that contributions to the core budget are due on 1 January of each year in accordance with paragraph 8 (b) of the financial procedures and to pay promptly and in full, for each of the years 2000 and 2001, the contributions required to finance expenditures approved under paragraphs 1 and 6 above, as offset by the contributions noted in the third paragraph of the preamble to this decision and a drawing approved in paragraph 3 above, and any contributions required to finance the expenditures arising from the decisions referred to in paragraph 5 above;

11. *Takes note* of the funding estimates for the Trust Fund for Participation in the UNFCCC Process specified by the Executive Secretary ($3,691,800 for the biennium 2000-2001), and invites Parties to make contributions to this fund (see table 7 below);

12. *Takes note* of the funding estimates for the Trust Fund for Supplementary Activities under the United Nations Framework Convention on Climate Change specified by the Executive Secretary ($6,178,900 for the biennium 2000-2001), and invites Parties to make contributions to this fund (see table 8 below);

13. *Requests* the Executive Secretary to report to the Conference of the Parties at its sixth session on income and budget performance, and to propose any adjustments that might be needed in the Convention budget for the biennium 2000-2001;

14. *Authorizes* the Executive Secretary to make a complementary contribution, from available resources, to the Intergovernmental Panel on Climate Change of $300,000 during the biennium 2000-2001;

15. *Authorizes* the Executive Secretary to incur additional expenditures of up to $1 million to offset part of the costs of activities arising from the preparatory process leading to the sixth session of the Conference of the Parties, drawing on available resources, including unspent balances or contributions from previous financial periods, on the understanding that additional voluntary contributions to the Trust Fund for Supplementary Activities and the Trust Fund for Participation in the UNFCCC Process of up to $2 million will be needed in order to cover all activities envisaged in the preparatory process for the sixth session of the Conference of the Parties.

Table 1. Programme budget for the biennium 2000-2001
(thousands of United States dollars)

	2000	2001	Biennium total
Expenditures			
I. Programmes*			
Executive Direction and Management	749.5	773.7	1 523.2
Planning, Coordination and Emerging Issues	1 232.6	1 214.8	2 447.4
Science and Technology	2 170.6	2 173.6	4 344.2
Implementation	2 591.3	2 747.1	5 338.4
Information, Outreach and Administrative Services[a]	1 546.5	1 643.7	3 190.2
Intergovernmental and Conference Affairs[b]	2 752.6	2 704.0	5 456.6
Subtotal (I)	**11 043.1**	**11 256.9**	**22 300.0**
II. Payments to the United Nations			
Overhead charge[c]	1 435.6	1 463.4	2 899.0
Subtotal (II)	**1 435.6**	**1 463.4**	**2 899.0**
III. Working capital reserve[d]	69.2	17.7	86.9
Subtotal (III)	**69.2**	**17.7**	**86.9**
TOTAL BUDGET (I+II+III)	**12 548.0**	**12 738.0**	**25 286.0**
Income			
Contribution from the host Government	810.8	810.8	1 621.6
Unspent balances or contributions from previous financial periods (carry-over)	1 000.0	1 000.0	2 000.0
TOTAL INCOME	**1 810.8**	**1 810.8**	**3 621.6**
INDICATIVE CONTRIBUTIONS	**10 737.2**	**10 927.2**	**21 664.4**

*As a result of a transfer of responsibility for administrative services in the secretariat following the fifth session of the Conference of the Parties, the name of the Information and Outreach programme has been changed to Information, Outreach and Administrative Services and the name of the Conference Affairs and Resource Management programme has been changed to Intergovernmental and Conference Affairs.

[a] Includes resources required to cover the computer needs of all programmes.
[b] Includes resources required to cover a number of secretariat-wide expenditures for non-staff-related items.
[c] Standard 13 per cent applied by the United Nations for administrative support of which part is returned to the secretariat for administrative costs.
[d] In accordance with paragraph 14 of the financial procedures (see decision 15/CP.1, annex I). This will bring the level of the working capital reserve to $916,600 in 2000 and $934,300 in 2001 (see paragraphs 17-19 of the financial procedures).

Table 2. Programme budget staffing table 2000-2001

	2000	2001
A. Professional category and above		
Executive Secretary	1	1
D-2	3	3
D-1	4	4
P-5	9.75	10
P-4	10	10
P-3	15	16
P-2	8.25	9
Subtotal (A)	**51**	**53**
B. General Service category	**27.75**	**28**
TOTAL (A+B)	**78.75**	**81**

Table 3. Resource requirements for the conference services contingency
(thousands of United States dollars)

Item of expenditure	2000	2001	Biennium total
A. Meeting servicing[a]	987.1	1 015.1	2 002.2
B. Documentation[b]	1 326.8	1 340.1	2 666.9
Subtotal	**2 313.9**	**2 355.2**	**4 669.1**
C. Overhead charge[c]	300.8	306.2	607
D. Contingencies and exchange rate fluctuations[d]	78.4	79.8	158.2
E. Working capital reserve[e]	223.5	4	227.5
TOTAL	**2 916.6**	**2 745.2**	**5 661.8**

[a] Includes interpretation and conference assistance.

[b] Includes revision, translation, typing, reproduction and distribution of pre-, in- and post-session documentation (regular and temporary staff, travel, and contractual services).

[c] Standard 13 per cent applied by the United Nations for administrative support.

[d] Calculated at 3 per cent.

[e] In accordance with paragraph 14 of the financial procedures. The 2000 amount has been calculated as 8.3 per cent of the subtotal and the overhead charge; the 2001 amount has been calculated as the amount required to bring the carried-over 2000 reserve to 8.3 per cent of the subtotal and overhead charge for 2001.

Table 4. Staffing requirements for the conference services contingency

	2000	2001
A. Professional category and above		
P-4	1	1
Total Professional category and above	**1**	**1**
B. Total General Service category	**5**	**5**
TOTAL (A+B)	**6**	**6**

Table 5. Additional resources for matters related to consideration of communications from non-Annex I Parties

(thousands of United States dollars)

Item of expenditure	2000	2001	Biennium total
A. Staff costs	457.6	466.4	924
B. Consultants	44	44	88
C. Travel on official business	33	33	66
Subtotal	**534.6**	**543.4**	**1 078.0**
D. Overhead charge[a]	69.5	70.6	140.1
E. Working capital reserve[b]	44.4	0.7	45.1
TOTAL	**648.5**	**614.7**	**1 263.2**
Income			
Unspent balances or contributions from previous financial periods (carry-over)	198.5	164.7	363.2
TOTAL INCOME	198.5	164.7	363.2
INDICATIVE CONTRIBUTIONS	**450**	**450**	**900**

[a] Standard 13 per cent applied by the United Nations for administrative support.

[b] In accordance with paragraph 14 of the financial procedures. The 2000 amount has been calculated as 8.3 per cent of the subtotal and the overhead charge; the 2001 amount has been calculated as the amount required to bring the carried-over 2000 reserve to 8.3 per cent of the subtotal and overhead charge for 2001.

Table 6. Staffing requirements for matters related to consideration of communications from non-Annex I Parties

	2000	2001
A. Professional category and above		
P-4	1	1
P-3	2	2
Total Professional category and above	**3**	**3**
B. Total General Service category	**1**	**1**
TOTAL (A+B)	**4**	**4**

Table 7. Trust Fund for Participation in the UNFCCC Process: Estimated resource requirements

(thousands of United States dollars)

Item of expenditure	2000	2001
A. Support for eligible Parties to participate in one two-week session of the subsidiary bodies	630	630
B. Support for eligible Parties to participate in one two-week session of the Conference of the Parties and its subsidiary bodies[a]	855	855
Subtotal	**1 485.0**	**1 485.0**
Overhead charge[b]	193.1	193.1
Operating cash reserve[c]	167.8	167.8
TOTAL	**1 845.9**	**1 845.9**

[a] Includes funding for a second delegate from least developed countries and small island developing States, in accordance with the practice followed at all meetings of the Conference of the Parties to date.

[b] Standard 13 per cent applied by the United Nations for administrative support.

[c] Calculated at 10 per cent.

Table 8. Trust Fund for Supplementary Activities: Estimated resource requirements[a]
(thousands of United States dollars)

Item of expenditure	2000	2001
Estimated resource requirements	2 500.0	2 620.2
Overhead charge[b]	325	340.6
Operating cash reserve[c]	375	18
TOTAL	**3 200.0**	**2 978.9**

[a] Additional information to be included in the revised version of document FCCC/SBI/1999/4/Add.1.

[b] Standard 13 per cent applied by the United Nations for administrative support.

[c] Calculated at 15 per cent.

9th plenary meeting
4 November 1999

Annex

INDICATIVE SCALE OF CONTRIBUTIONS TO THE CORE BUDGET OF THE UNFCCC FOR THE BIENNIUM 2000-2001

(United States dollars)

Party name	Indicative scale	Indicative contributions	Adjustments	Actual contributions	Indicative contributions	Adjustments	Actual contributions
	2000	2000			2001		
Albania	0.003	336	-10	325	341	-10	331
Algeria	0.086	9621	-292	9329	9784	-297	9487
Antigua and Barbuda	0.002	224	-7	217	228	-7	221
Argentina	1.103	123395	-3751	119643	125491	-3815	121675
Armenia	0.006	671	-20	651	683	-21	662
Australia	1.483	165906	-5044	160862	168724	-5129	163594
Austria	0.942	105383	-3204	102180	107173	-3258	103915
Azerbaijan	0.011	1231	-37	1193	1251	-38	1213
Bahamas	0.015	1678	-51	1627	1707	-52	1655
Bahrain	0.017	1902	-58	1844	1934	-59	1875
Bangladesh	0.010	1119	-34	1085	1138	-35	1103
Barbados	0.008	895	-27	868	910	-28	883
Belgium	1.104	123507	-3755	119752	125604	-3819	121786
Belize	0.001	112	-4	108	114	-4	110
Benin	0.002	224	-7	217	228	-7	221
Bhutan	0.001	112	-4	108	114	-4	110
Bolivia	0.007	783	-24	759	796	-24	772
Botswana	0.010	1119	-34	1085	1138	-35	1103
Brazil	1.471	164564	-5003	159561	167359	-5088	162271
Bulgaria	0.011	1231	-37	1193	1251	-38	1213
Burkina Faso	0.002	224	-7	217	228	-7	221
Burundi	0.001	112	-4	108	114	-4	110
Cambodia	0.001	112	-4	108	114	-4	110
Cameroon	0.013	1454	-44	1410	1479	-45	1434
Canada	2.732	305634	-9292	296343	310825	-9449	301376
Cape Verde	0.002	224	-7	217	228	-7	221
Central African Republic	0.001	112	-4	108	114	-4	110

Party name	Indicative scale 2000	Indicative contributions 2000	Adjustments 2000	Actual contributions 2000	Indicative contributions 2001	Adjustments 2001	Actual contributions 2001
Chad	0.001	112	-4	108	114	-4	110
Chile	0.136	15215	-463	14752	15473	-470	15003
China	0.995	111313	-3384	107929	113203	-3442	109,762
Colombia	0.109	12194	-371	11823	12401	-377	12024
Comoros	0.001	112	-4	108	114	-4	110
Congo	0.003	336	-10	325	341	-10	331
Cook Islands	0.001	112	-4	108	114	-4	110
Costa Rica	0.016	1790	-54	1736	1820	-55	1765
Côte d'Ivoire	0.009	1007	-31	976	1024	-31	993
Croatia	0.030	3356	-102	3254	3413	-104	3309
Cuba	0.024	2685	-82	2603	2731	-83	2648
Cyprus	0.034	3804	-116	3688	3868	-118	3751
Czech Republic	0.107	11970	-364	11606	12174	-370	11804
Democratic People's Republic of Korea	0.015	1678	-51	1627	1707	-52	1655
Democratic Republic of the Congo	0.007	783	-24	759	796	-24	772
Denmark	0.692	77415	-2354	75062	78730	-2393	76337
Djibouti	0.001	112	-4	108	114	-4	110
Dominica	0.001	112	-4	108	114	-4	110
Dominican Republic	0.015	1678	-51	1627	1707	-52	1655
Ecuador	0.020	2237	-68	2169	2275	-69	2206
Egypt	0.065	7272	-221	7051	7395	-225	7170
El Salvador	0.012	1342	-41	1302	1365	-42	1324
Eritrea	0.001	112	-4	108	114	-4	110
Estonia	0.012	1342	-41	1302	1365	-42	1324
Ethiopia	0.006	671	-20	651	683	-21	662
European Community	2.500	279680	-8776	270904	284430	-8925	275505
Fiji	0.004	447	-14	434	455	-14	441
Finland	0.543	60746	-1847	58900	61778	-1878	59900
France	6.545	732202	-22260	709942	744638	-22638	722000
Gabon	0.015	1678	-51	1627	1707	-52	1655
Gambia	0.001	112	-4	108	114	-4	110
Georgia	0.007	783	-24	759	796	24)	772
Germany	9.857	1102722	-33524	1069198	1121451	-34093	1087357
Ghana	0.007	783	-24	759	796	-24	772
Greece	0.351	39267	-1194	38073	39934	-1214	38720

Party name	Indicative scale	Indicative contributions	Adjustments	Actual contributions	Indicative contributions	Adjustments	Actual contributions
	2000	2000			2001		
Grenada	0.001	112	-4	108	114	-4	110
Guatemala	0.018	2014	-61	1952	2048	-62	1986
Guinea	0.003	336	-10	325	341	-10	331
Guinea-Bissau	0.001	112	-4	108	114	-4	110
Guyana	0.001	112	-4	108	114	-4	110
Haiti	0.002	224	-7	217	228	-7	221
Honduras	0.003	336	-10	325	341	-10	331
Hungary	0.120	13425	-408	13017	13653	-415	13238
Iceland	0.032	3580	-109	3471	3641	-111	3530
India	0.299	33450	-1017	32433	34018	-1034	32984
Indonesia	0.188	21032	-639	20393	21389	-650	20739
Iran (Islamic Republic of)	0.161	18011	-548	17464	18317	-557	17760
Ireland	0.224	25059	-762	24297	25485	-775	24710
Israel	0.350	39155	-1190	37965	39820	-1211	38610
Italy	5.437	608248	-18491	589757	618578	-18806	599773
Jamaica	0.006	671	-20	651	683	-21	662
Japan	20.573	2301543	-69970	2231573	2340631	-71158	2269473
Jordan	0.006	671	-20	651	683	-21	662
Kazakhstan	0.048	5370	-163	5207	5461	-166	5295
Kenya	0.007	783	-24	759	796	-24	772
Kiribati	0.001	112	-4	108	114	-4	110
Kuwait	0.128	14320	-435	13884	14563	-443	14120
Lao People's Democratic Republic	0.001	112	-4	108	114	-4	110
Latvia	0.017	1902	-58	1844	1934	-59	1875
Lebanon	0.016	1790	-54	1736	1820	-55	1765
Lesotho	0.002	224	-7	217	228	-7	221
Libyan Arab Jamahiriya	0.124	13872	-422	13450	14108	-429	13679
Liechtenstein	0.006	671	-20	651	683	-21	662
Lithuania	0.015	1678	-51	1627	1707	-52	1655
Luxembourg	0.068	7607	-231	7376	7736	-235	7501
Madagascar	0.003	336	-10	325	341	-10	331
Malawi	0.002	224	-7	217	228	-7	221
Malaysia	0.183	20473	-622	19850	20820	-633	20187
Maldives	0.001	112	-4	108	114	-4	110
Mali	0.002	224	-7	217	228	-7	221

Party name	Indicative scale	Indicative contributions	Adjustments	Actual contributions	Indicative contributions	Adjustments	Actual contributions
	2000	2000			2001		
Malta	0.014	1566	-48	1519	1593	-48	1544
Marshall Islands	0.001	112	-4	108	114	-4	110
Mauritania	0.001	112	-4	108	114	-4	110
Mauritius	0.009	1007	-31	976	1024	-31	993
Mexico	0.995	111313	-3384	107929	113203	-3442	109762
Micronesia (Federated States of)	0.001	112	-4	108	114	-4	110
Monaco	0.004	447	-14	434	455	-14	441
Mongolia	0.002	224	-7	217	228	-7	221
Morocco	0.041	4587	-139	4447	4665	-142	4523
Mozambique	0.001	112	-4	108	114	-4	110
Myanmar	0.008	895	-27	868	910	-28	883
Namibia	0.007	783	-24	759	796	-24	772
Nauru	0.001	112	-4	108	114	-4	110
Nepal	0.004	447	-14	434	455	-14	441
Netherlands	1.632	182575	-5550	177025	185676	-5645	180031
New Zealand	0.221	24724	-752	23972	25144	-764	24379
Nicaragua	0.001	112	-4	108	114	-4	110
Niger	0.002	224	-7	217	228	-7	221
Nigeria	0.032	3580	-109	3471	3641	-111	3530
Niue	0.001	112	-4	108	114	-4	110
Norway	0.610	68242	-2075	66167	69401	-2110	67291
Oman	0.051	5705	-173	5532	5802	-176	5626
Pakistan	0.059	6600	-201	6400	6713	-204	6508
Panama	0.013	1454	-44	1410	1479	-45	1434
Papua New Guinea	0.007	783	-24	759	796	-24	772
Paraguay	0.014	1566	-48	1519	1593	-48	1544
Peru	0.099	11075	-337	10739	11263	-342	10921
Philippines	0.081	9062	-275	8786	9216	-280	8935
Poland	0.196	21927	-667	21260	22299	-678	21621
Portugal	0.431	48217	-1466	46751	49036	-1491	47545
Qatar	0.033	3692	-112	3580	3754	-114	3640
Republic of Korea	1.006	112543	-3421	109122	114455	-3480	110975
Republic of Moldova	0.01	1119	-34	1085	1138	-35	1103
Romania	0.056	6265	-190	6074	6371	-194	6178
Russian Federation	1.077	120486	-3663	116823	122532	-3725	118807

Party name	Indicative scale	Indicative contributions	Adjustments	Actual contributions	Indicative contributions	Adjustments	Actual contributions
	2000	2000			2001		
Rwanda	0.001	112	-4	108	114	-4	110
Saint Kitts and Nevis	0.001	112	-4	108	114	-4	110
Saint Lucia	0.001	112	-4	108	114	-4	110
Saint Vincent and the Grenadines	0.001	112	-4	108	114	-4	110
Samoa	0.001	112	-4	108	114	-4	110
San Marino	0.002	224	-7	217	228	-7	221
Saudi Arabia	0.562	62872	-1911	60961	63940	-1944	61996
Senegal	0.006	671	-20	651	683	-21	662
Seychelles	0.002	224	-7	217	228	-7	221
Sierra Leone	0.001	112	-4	108	114	-4	110
Singapore	0.179	20025	-609	19416	20365	-619	19746
Slovakia	0.035	3916	-119	3796	3982	-121	3861
Slovenia	0.061	6824	-207	6617	6940	-211	6729
Solomon Islands	0.001	112	-4	108	114	-4	110
South Africa	0.366	40945	-1245	39700	41641	-1266	40375
Spain	2.591	289860	-8812	281048	294783	-8962	285821
Sri Lanka	0.012	1342	-41	1302	1365	-42	1324
Sudan	0.007	783	-24	759	796	-24	772
Suriname	0.004	447	-14	434	455	-14	441
Swaziland	0.002	224	-7	217	228	-7	221
Sweden	1.079	120710	-3670	117040	122760	-3732	119028
Switzerland	1.215	135924	-4132	131792	138233	-4202	134031
Syrian Arab Republic	0.064	7160	-218	6942	7281	-221	7060
Tajikistan	0.004	447	-14	434	455	-14	441
Thailand	0.170	19018	-578	18440	19341	-588	18753
The former Yugoslav Republic of Macedonia	0.004	447	-14	434	455	-14	441
Togo	0.001	112	-4	108	114	-4	110
Tonga	0.001	112	-4	108	114	-4	110
Trinidad and Tobago	0.016	1790	-54	1736	1820	-55	1765
Tunisia	0.028	3132	-95	3037	3186	-97	3089
Turkmenistan	0.006	671	-20	651	683	-21	662
Tuvalu	0.001	112	-4	108	114	-4	110
Uganda	0.004	447	-14	434	455	-14	441
Ukraine	0.190	21256	-646	20609	21617	-657	20960
United Arab Emirates	0.178	19913	-605	19308	20251	-616	19636

Party name	Indicative scale	Indicative contributions	Adjustments	Actual contributions	Indicative contributions	Adjustments	Actual contributions
	2000	2000			2001		
United Kingdom	5.092	569652	-17318	552334	579327	-17612	561715
United Republic of Tanzania	0.003	336	-10	325	341	-10	331
United States of America	25.000	2796800	-85026	2711774	2844300	-86470	2757830
Uruguay	0.048	5370	-163	5207	5461	-166	5295
Uzbekistan	0.025	2797	-85	2712	2844	-86	2758
Vanuatu	0.001	112	-4	108	114	-4	110
Venezuela	0.16	17900	-544	17355	18204	-553	17650
Viet Nam	0.007	783	-24	759	796	-24	772
Yemen	0.010	1119	-34	1085	1138	-35	1103
Yugoslavia	0.026	2909	-88	2820	2958	-90	2868
Zambia	0.002	224	-7	217	228	-7	221
Zimbabwe	0.009	1007	-31	976	1024	-31	993
TOTAL	**103.138**	**11538254**	**-351054**	**11187200**	**11734217**	**-357017**	**11377200**

Note: The scale is based on the United Nations scale of assessments (see decision 15/CP.1, annex I, para. 7 (a) as a mended in decision 17/CP.4). The same methodology of determining the scale will be applied to the conference services contingency in the event it is approved. The total is based on paragraphs 1 and 6 of this decision (see tables 1 and 5 above).

Decision 21/CP.5

Income and budget performance in the biennium 1998-1999 and arrangements for administrative support to the Convention

The Conference of the Parties,

Having considered the report of the Subsidiary Body for Implementation on its work on administrative and financial matters at its tenth and eleventh sessions,

Having taken note of the reports by the Executive Secretary on the related subjects,[1]

1. *Expresses its appreciation* to the Parties that made their indicative contributions to the core budget in a timely manner, as well as those that made additional voluntary contributions to the Trust Fund for Participation in the UNFCCC Process and the Trust Fund for Supplementary Activities of the UNFCCC;

2. *Also expresses its appreciation* to the Government of Germany for its special contribution to cover costs of events held in Bonn or in developing countries (the Bonn Fund);

3. *Expresses concern* at the continuing trend towards late payment of contributions, some still outstanding from 1996 and 1997, and encourages all Parties that have not yet paid their contributions to do so without further delay;

4. *Requests* the Executive Secretary to present, through the Subsidiary Body for Implementation (SBI) at its twelfth session, possible options to respond to the situation of late payment of contributions for consideration at its sixth session;

5. *Authorizes* the Executive Secretary to enter into commitments up to the level of the approved budget, drawing on available resources, including unspent balances or contributions from the previous financial period, pending an overall review at its sixth session of the issue of the carry-over balance from the 1996-1997 biennium, to be undertaken on the basis of a recommendation from the SBI;

6. *Takes note* of the developments reported by the Executive Secretary in his discussions with the United Nations regarding administrative arrangements for the Convention;

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[1] See FCCC/SBI/1999/3, FCCC/SBI/1999/10 and Add.1; FCCC/SBI/1999/INF.5, FCCC/SBI/1999/INF.9 and FCCC/SBI/1999/INF.11.

7. *Requests* the Executive Secretary to continue with these discussions in order to achieve a more rational and efficient approach to the administrative arrangements between the Convention secretariat and the United Nations, and to report to the SBI at its twelfth session on progress made in implementing the new administrative arrangements;

8. *Takes note* of the continuing action taken by the Executive Secretary to implement the recommendations made by both the internal and external auditors of the United Nations and invites the Executive Secretary to complete their implementation.

9th plenary meeting
4 November 1999

Decision 22/CP.5

Institutional linkage of the Convention secretariat to the United Nations

The Conference of the Parties,

Recalling its decision 14/CP.1 whereby it decided that "the Convention secretariat shall be institutionally linked to the United Nations, while not being fully integrated in the work programme and management structure of any particular department or programme", and decided further "to review the functioning of the institutional linkage of the Convention secretariat to the United Nations, not later than 31 December 1999, in consultation with the Secretary-General, with a view to making such modifications as may be considered desirable by both parties",

Recalling also General Assembly resolution 50/115 of 20 December 1995,

Taking note of the information provided by the Executive Secretary that the institutional linkage is working satisfactorily and is being adapted to changing circumstances,

Taking note also of the expression of intent by the Secretary-General to seek the endorsement of the General Assembly at its fifty-fourth session for continuation of the institutional linkage,

1. *Invites* the United Nations General Assembly to decide at its fifty-fourth session on the issue of meeting the conference-servicing expenses of the Convention from its regular budget, taking into account the views expressed by Member States;

2. *Approves* the continuation of the institutional linkage of the Convention secretariat to the United Nations, subject to review not later than 31 December 2001, in consultation with the Secretary-General, with a view to making such modifications as may be considered desirable by both parties.

2nd plenary meeting
25 October 1999

II. OTHER ACTION TAKEN BY THE CONFERENCE OF THE PARTIES

1. Calendar of meetings of Convention bodies, 2000-2003

At its 9th plenary meeting, on 4 November 1999, the Conference of the Parties adopted the following calendar of meetings of Convention bodies for the period 2000-2003 (see Part One, section II, H, paras. 31-32, of the present report):

- First sessional period in 2000: from 12 to 16 June 2000, preceded by one week of informal meetings, including workshops;
- Second sessional period in 2000: from 11 to 15 September 2000, preceded by one week of informal meetings, including workshops;
- Third sessional period in 2000: from 13 to 24 November 2000;
- First sessional period in 2001: from 21 May to 1 June 2001;
- Second sessional period in 2001: from 29 October to 9 November 2001;
- First sessional period in 2002: from 3 to 14 June 2002;
- Second sessional period in 2002: from 28 October to 8 November 2002;
- First sessional period in 2003: from 2 to 13 June 2003; and
- Second sessional period in 2003: from 1 to 12 December 2003.

2. Recommendation of the Subsidiary Body for Scientific and Technological Advice

At its 9th meeting, on 4 November, on the proposal of the President, the Conference of the Parties took note of the conclusions of the Subsidiary Body for Scientific and Technological Advice at its eleventh session on the question of the scientific and methodological aspects of the proposal by Brazil,[1] in which it noted that a revised version of the proposal by Brazil was now available and called for further work on this question, including a review of the proposal by experts selected from the roster of experts (see Part One, section IV, H, para. 57 of the present report).

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[1] See FCCC/SBSTA/1999/14, section IX, E.

3. Proposals to delete the name of Turkey from the lists in Annexes I and II to the Convention

At the 10th plenary meeting, on 5 November, the Conference of the Parties took note of the efforts of Turkey to advance the implementation of the objective of the Convention even at a time when it was not a Party. The Conference of the Parties was encouraged, in particular, by the efforts made by Turkey to implement policies and measures that could lead to a meaningful limitation of greenhouse gas emissions in comparison with a business-as-usual scenario.

In recognition of the efforts made by Turkey, the Conference of the Parties requested the President to intensify his search for a satisfactory solution and decided to consider the matter again at its sixth session under an item entitled "Review of information and possible decisions under Article 4, paragraph 2 (f), of the Convention". The Conference of the Parties requested the Executive Secretary to place the item on the provisional agenda for that session (see Part One, section VI, A, paras. 62-63 of the present report).

4. Proposal by Kazakhstan to add its name to the list in Annex I to the Convention

At the 10th plenary meeting, on 5 November, the Conference of the Parties noted that any Party may propose amendments to the Convention and its annexes in accordance with Articles 15 and 16 of the Convention. It further noted that any Party not listed in Annex I to the Convention had the right to seek to join Annex I pursuant to those articles. The Conference of the Parties took note that Kazakhstan maintained its proposal for an amendment to add its name to the list in Annex I and was willing to undertake additional consultations to facilitate a decision on the proposal at the sixth session of the Conference of the Parties.

The Conference of the Parties decided to consider the amendment proposed by Kazakhstan at its sixth session, with a view to taking action thereon (see Part One, section VI, B, paras. 67 and 69 of the present report).

5. Institutional linkage of the Convention secretariat to the United Nations

At its 9th meeting, on 4 November, the Conference of the Parties endorsed the conclusions of the Subsidiary Body for Implementation at its eleventh session on its agenda item 12 (d),[2] in which it decided that consideration of the juridical personality of the Convention secretariat on the international plane should be deferred and taken up in 2001 in conjunction with the review of the international linkage of the Convention secretariat to the United Nations, which was to be completed by 31 December of that year (see Part One, section VIII, C, para. 82 of the present report).

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[2] See FCCC/SBI/1999/14, section XII, D.